This Green Claim Form for Extraordinary Injury Fund (EIF) benefits, along with all requested documentation, must be submitted on or before **September 30, 2014**, to receive **Past** Matrix Level benefits. For **Future** Matrix Level benefits, this Green Claim Form and all requested documentation must be submitted within 90 days of the respective claim's accrual. EIF claims may only be submitted on behalf of Enrolled Program Claimants, including Unrepresented (*Pro Se*) Enrolled Program Claimants, who have undergone an ASR Revision Surgery and who have incurred a specified, unique or extraordinary injury in connection with their ASR Hip Implants, ASR Revision Surgery, or a subsequent Covered Re-Revision Surgery, as set forth in the Part B Award Schedule.

A Claimant submitting this Green Claim Form must also have submitted a qualifying Orange Claim Form for Part A Base Payment.

INSTRUCTIONS

- 1. Counsel for Claimants, and all *Pro Se* Claimants, who seek compensation for a unique or extraordinary injury in connection with the condition necessitating an ASR Revision Surgery, the ASR Revision Surgery or a subsequent Re-Revision Surgery, as set forth in the Part B Award Schedule, must complete this Green Claim Form.
- 2. Each Matrix Level section contains a "Summary of Claim" question. In the space provided, explain the basis of the claim and include any information that will assist the Claims Processor's review of the claim.
- 3. If a Claimant previously submitted a Green Claim Form for EIF benefits, the Claimant is entitled to file a subsequent Green Claim Form for additional compensation if the Product User subsequently develops a medical condition or a change in a medical condition that qualifies the Claimant for additional EIF benefits.
- 4. As set forth in Section 8.2.6 of the Settlement Agreement, claimants are reminded that there are no depositions, no written discovery, no expert reports, affidavits, or hearings or trials in connection with the filing of PART B claims or the evaluation or determination of any PART B Awards. QUSCs have the burden of proof and burden of production with respect to the contemporaneous Medical Records submitted in the Claims Package and any additional contemporaneous Medical Records of such QUSC submitted for establishing that the criteria has been met for any PART B Award.

Notwithstanding the above admonition, pursuant to Section 4.1.5 of the Settlement Agreement, Claimants may submit additional documentation (including, but not limited to, tax returns, W-2 statements) for the limited purpose of proving lost wages or loss of earnings under Matrix Levels VI and VII.

5. If this Green Claim Form is used to supplement a prior claim, the entire Claim Form need <u>not</u> be completed again in full. Only changes to information previously provided need to be submitted. Indicate below whether this is an original Green Claim Form (*i.e.*, the first Green Claim Form that has been submitted on behalf of a Claimant) or a supplemental Green Claim Form (*i.e.*, a Green Claim Form that a Claimant is submitting to apply for additional benefits from the EIF matrices).

	This is an Original Claim Form. This is a Supplemental EIF Benefits Claim Form.							
		A. PERSONAL INFORMATION O	F PROI	DUCT USER				
1	Name Last		First			Middle Initial		
1.	DOE		JANE			L.		
2.	Social Security Number	8	3. Date of Birth		7 / 1969 D/YYYY)			
	B. PRIMARY LAW FIRM INFORMATION (if represented by an attorney)							
		Last		First		Middle		
4.	Principal Responsible Attorney	SMITH		JOHN		Initial		

		GREEN CLAIM FORM FOR EIF AWARD		
5. Firm	Name	Law Firm 1		
(Current		Street		
6. Curro	ent Address	City State		Zip
		C. EIF MATRIX LEVELS		
	Check each M	latrix Level under which the QUSC believes he/she is entitled	to compensation.	
		Matrix Levels	Matrix	Туре
			Past	Future
I.	Re-Revision Sur	gery		
	Major Complica	tion – Pulmonary Embolism or Deep Vein Thrombosis		
	Major Complica			
II.	Major Complica			
	Major Complica			
	Major Complica	tion – Miscellaneous Major Complication		
	Delayed Recove	ry–Foot Drop		
III.	Delayed Recove	ry – Infection		
	Delayed Recove	ry – Miscellaneous		
IV.	Myocardial Infa	retion		
V.	Stroke			
VI.	Death			
VII.	Miscellaneous D	iscretionary	\boxtimes	

GREEN CLAIM FORM FOR EIF AWARD						
To complete an application for EIF Benefits, a QUSC must complete this Green Claim Form including all designated sections for the requested Matrix Level and Matrix Type. Additionally, a QUSC must have already completed the following:						
The Orange Claim Form	for Part A Base Benefits (alo	ng with all necessary attachments); and				
The Blue Claim Form for	Lien Resolution.					
	D. MATRIX LEV	VEL I (Re-Revision Surgery)				
 This section relates only to Matrix Level I – Re-Revision Surgery and should be completed only if a QUSC has undergone a Re-Revision Surgery that meets the following criteria: 1. The Re-Revision involved removal of the cup of a hip device implanted in the QUSC during his/her ASR Revision 						
	R Revision Surgery on the sa ision Surgery or Bilateral AS	ame hip or during a subsequent Re-Revision R Revision Surgery; and	on Surgery on the same hip			
2. The Re-Revision was no	ot necessitated by trauma (as	defined in Section 1.2.35).				
To submit a claim for PAST Matrix Level I , the QUSC must have undergone Re-Revision Surgery before April 1, 2014. To submit a claim for FUTURE Matrix Level I , on or after April 1, 2014, the QUSC must undergo Re-Revision Surgery on or before the date that is: (1) on or before 547 days after another Covered Re-Revision Surgery; and (2) on or before the date that is two years from the date of the ASR Revision Surgery on that hip. An award under this Future Matrix Level I shall be calculated in the same manner and subject to the same limitations and reductions as an award under the Past Matrix Level I, except that the Future Matrix Level I award will be subject to a reduction of up to 75%.						
If a Product User has had more than one Re-Revision Surgery, make copies of this Section D for each Re-Revision Surgery and attach them to the signed Claim Form. The maximum number of compensable Re-Revisions under this Matrix Level I shall be three per hip in which an ASR Hip Implant has been removed. Additional Re-Revisions may, at the discretion of the Team and the SOC, be compensable under Matrix Level VII.						
1. Matrix Type		Past (Before April 1, 2014) Future (On or After April 1,	2014)			
2. Affected Hip	🗌 Left 🛛 Right	3. Re-Revision Surgery Date	<u>4 / 4 / 2012</u> (MM/DD/YYYY)			
4. Name of Hospital where Re-Revision Surgery Occurred	Santa Fe General Hospital					
5. Surgeon Name	Last JONES	First BOB	Middle Initial			
6. Reason for Re- Revision Surgery	Acetabular cup loosened.		I			
7. Summary of Claim	replacement with an ASR 2 Revision Surgery on 3/3/1	ex Surgery on her right hip on 2/2/10 and XL Hip Implant. The acetabular cup loos 1, during which Dr. Jones removed the cu stem. The Pinnacle acetabular cup subsection Surgery on 4/4/12.	ened and Ms. Doe had a p and replaced it with a			

GREEN CLAIM FORM FOR EIF AWARD							
When submitting a Green Claim Form for EIF Benefits that includes a claim for Matrix Level I – Re-Revision Surgery, a QUSC must submit these documents:							
A true and correct copy of all contemporaneous Medical Records of the treating surgeon who performed each Re-Revision Surgery; and							
A true and correct copy of the contemporaneous Medical Records, including Admission History and Physical Examination Records, Discharge Summaries and Operative Records pertaining to any Re-Revision Surgery.							
E. MATRIX LEVEL II (Major Complications):							
Pulmonary Embolism (PE) or Deep Vein Thrombosis (DVT)							
 This section relates only to Matrix Level II – Major Complications: PE or DVT and should be completed only if a QUSC suffers either a pulmonary embolism ("PE") (an obstruction of an artery in the lungs caused by a blood clot), or deep vein thrombosis ("DVT") (condition in which a blood clot forms in one or more of the veins in the legs or pelvis) that meets the following criteria: 1. The PE or DVT was diagnosed during the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery; and 							
2. The QUSC required additional hospitalization for treatment of the PE or DVT.							
A QUSC who suffers and was diagnosed with a pulmonary embolism or deep vein thrombosis in close temporal proximity to (in no event greater than 60 days), but following, the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery, may be entitled to an award under this Section based upon a process to be determined by the Team and the SOC at a later date provided that the ASR Revision Surgery or Covered Re-Revision Surgery was a cause of the PE or DVT. To submit a claim for PAST Matrix Level II – Major Complications: PE or DVT , the QUSC must have suffered a PE or DVT before April 1, 2014. To submit a claim for FUTURE Matrix Level II – Major Complications: PE or DVT , the QUSC must suffer a PE or DVT on or after April 1, 2014, but within two years of the ASR Revision Surgery. An award under this Future Matrix Level II shall be calculated in the same manner and subject to the same qualifications, reductions and limitations as an award under the Past Matrix Level II, except that the Future Matrix Level II award will be subject to a reduction of up to 75%.							
make copies of this Section E for each PE or DVT and attach them to the signed Claim Form. The maximum number of							
compensable PEs and/or DVTs shall be two.							
1. Matrix Type Past (Before April 1, 2014) Future (On or After April 1, 2014)							
2. Complication Type							
3. Diagnosis Date /// / 4. Treatment Date ////							
5. Name of Hospital where PE or DVT was Diagnosed or Tracted							

	ITeateu			
6.	Name of Diagnosing/ Treating Physician	Last	First	Middle Initial

	GREEN CLAIM FORM	FOR EIF	AWAR	D			
7. Summary of Claim							
When submitting a Green C DVT, a QUSC must submit	Claim Form for EIF Benefits that includes these documents:	a claim for N	Aatrix Leve	el II – Major Co	omplications: PE or		
and	of all contemporaneous Medical Records of the contemporaneous Medical Record						
	nmaries, Radiology or Imaging Reports a	nd Operative	Records p	pertaining to any			
	F. MATRIX LEVEL II (Major C	-					
suffers one or more dislocation	Matrix Level II- Major Complications: tions of the prosthetic femoral head of the neous medical records, and who underwer	hip that unde	erwent an A	ASR Revision S	Surgery, as		
dislocation before April 1, 2 QUSC must suffer a disloca Revision Surgery; and (2) v II shall be calculated in the that the Future Matrix Leve	To submit a claim for PAST Matrix Level II – Major Complications: Dislocation , the QUSC must have suffered a dislocation before April 1, 2014. To submit a claim for FUTURE Matrix Level II – Major Complications: Dislocation , the QUSC must suffer a dislocation on or after April 1, 2014, and on a date that is: (1) on or before 365 days after a Covered Re-Revision Surgery; and (2) within two years of the ASR Revision Surgery on that hip. An award under this Future Matrix Level II shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level II, except that the Future Matrix Level II award will be subject to a reduction of up to 75%.						
	ore than one Major Complication: Disloc ed Claim Form. The maximum number of lant had been removed.						
1. Matrix Type	 Past (Before April 1, 2014) Future (On or After April 1, 2014) 	4)	2. Affec	ted Hip	Left		
				Open Red	luction		
3. Date of Dislocation	(MM/DD/YYYY) 4.	Freatment T	уре	Closed Re	eduction		
	experienced two or more dislocations entified in Question 2 of this section Surgery?	🗌 Yes	s 🗌	No	Yes, complete Item 6. No, skip to Item 7.		
6. If Yes, provide a brief explanation.							
	rience any trauma to the Affected Hip n Surgery and before this dislocation?	[] Yes	6	No	Yes, complete Item 8. No, skip to Item 9.		
8. If Yes, provide a brief explanation.							
9. Name of Hospital where Dislocation was Diagnosed or Treated							

GREEN CLAIM FORM FOR EIF AWARD						
10. Name of Diagnosing/ Treating Physician	Last		First			Middle Initial
11. Summary of Claim						
When submitting a Green Clai Dislocations, a QUSC must su	im Form for EIF Benefits that in bmit these documents:	cludes a clai	im for Matrix	t Level II – Maj	jor Complicatio	ns:
A true and correct copy of	Sall contemporaneous Medical R Sthe contemporaneous Medical F naries and Operative Records per	Records, inc	luding Admis	sion History an		
(G. MATRIX LEVEL II (Ma	ajor Comp	lications):	Foot Drop		
suffered an injury to the perone	atrix Level II- Major Complica eal nerve as a result of the ASR rt of the foot and where the follo	Revision Su	irgery or a Co			
	ted through objective physical ex Revision Surgery, as documented					ion
	ely diagnosed as a peroneal nerve overed Re-Revision Surgery – Pa			manifest itself 9	90 days after the	ASR
before April 1, 2014. To subm suffer a foot drop on or after A Future Matrix Level II shall be	Matrix Level II – Major Compl nit a claim for FUTURE Matrix april 1, 2014, and within two yea e calculated in the same manner a e Future Matrix Level II award w	Level II – ars of the AS and subject	Major Comp SR Revision S to the same lin	blications: Foo Surgery on that I mitations as an	t Drop, a QUSC hip. An award u award under the	C must inder this
Foot Drop and attach them to t regardless of the number of ins	e than one Major Complication: the signed Claim Form. A QUS stances of Foot Drop, and a QUS ve the greater of the two awards	C can receiv SC who is el	ve only one M	latrix Level II a	ward due to Foo	ot Drop,
1. Matrix Type	Past (Before April 1, 2014)	2014)	2. Affected	l Hip		
3. Date Foot Drop First Manifested	Future (On or After April 1, 2 <u>4 / 8 /2012</u> (MM/DD/YYYY)	2014)	4. Date of	Diagnosis	Right <u>4 / 21 / 2</u> (MM/DD/Y	
5. Does the Foot Drop continue to manifest?			🗌 Yes	🛛 No	If No, comple If Yes, skip to	
6. If No, provide the date of the last manifestation.			<u>8 / 3 / 2013</u> (MM/DD/YYYY)			
7. Name of Hospital where Foot Drop was Diagnosed or Treated	Santa Fe General Hospital					

	GREEN CLAIM FORM FOR EIF AWARD							
8.	Name of Diagnosing/	Last	First			Middle Initial		
	Treating Physician	JONES	BC	OB				
9.	Summary of Claim		Ms. Doe suffered a foot drop, which Dr. Jones diagnosed on 4/8/12 while Ms. Doe was hospitalized for her Re-Revision Surgery. On 4/21/12, Dr. Jones informed Ms. Doe that the foot drop was the result of a peroneal nerve injury.					
	nen submitting a Green Clai op, a QUSC must submit the	m Form for EIF Benefits that in ese documents:	cludes a claim for	Matrix Level II	– Major Complic	ations: Foot		
		all contemporaneous Medical I gery documenting the manifesta						
	A true and correct copy of managed the foot drop or p	all contemporaneous Medical I peroneal nerve injury; and	Records of the treating	ing physician or	surgeon who diag	gnosed and/or		
	A true and correct copy of more.	all contemporaneous Medical H	Records showing the	e foot drop cont	inued to manifest	for 90 days or		
		H. MATRIX LEVEL II (N	Iajor Complicatio	ions): Infectio	n			
		atrix Level II- Major Complic g treatments for infection related			mpleted only if a	QUSC has		
	1. Eight (8) weeks (define	ed as 56 days) of continuous intr	ravenous antibiotic t	treatment; or				
	2. An open surgical proce	dure with prosthesis retention (e.g., debridement an	nd/or insertion o	of antibiotic beads); or		
	3. Where the QUSC was i	implanted with an antibiotic spa	icer.					
		biotics for <u>></u> 6 continuous week mpensation under Matrix Level		ntinuous weeks	may, at the discre	tion of the		
inf mu (1) Re lim	To submit a claim for PAST Matrix Level II – Major Complications: Infection , a QUSC must have been treated for the infection before April 1, 2014. To submit a claim for FUTURE Matrix Level II – Major Complications: Infection , a QUSC must be treated for the infection on or after April 1, 2014, that is related to a Re-Revision Surgery on or before the date that is: (1) 547 days after an ASR Revision Surgery or Covered Re-Revision Surgery; and (2) within two years from the date of the ASR Revision Surgery. An award under this Future Matrix Level II shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level II, except that the Future Matrix Level II award will be subject to a reduction of up to 75%.							
atta due	If a Product User has had more than one Major Complication: Infection, make copies of this Section H for each Infection and attach them to the signed Claim Form. A QUSC can receive only one Past Matrix Level II award (the greater of which applies) due to Infection, regardless of the length or number of infections claimed. A QUSC who receives a Matrix Level II award due to Infection may additionally qualify to receive a Matrix Level III award.							
1.	Matrix Type		Past (Before April	- ,				
			Future (On or Afte	er April 1, 2014)			
2.	Date of Diagnosis			// (MM/DD/YY	/ YYY)			
3.	3. Was the Product User treated for or diagnosed with infection in the Affected Hip between the time of the Index Surgery and the ASR Revision Surgery?							

	GREEN CLAIM FORM FOR EIF AWARD							
4.	If you answered Yes to	Question 3, provide the date	(s) of treatment or	diagnosis.	/_/ /MM/DD/	YYYY)		
5.	Was the Product User t ASR Revision Surgery	reated for or diagnosed with	infection at the ti	me of the	Yes	🗌 No		
6.	Name of Hospital where Infection was Diagnosed or Treated							
7.	Name of Diagnosing/ Treating Physician	Last		First		Middle Initial		
8.	Summary of Claim							
	en submitting a Green Cl ection, a QUSC must sub	aim Form for EIF Benefits tha nit these documents:	t includes a claim fo	or Matrix Level	II – Major Complie	cations:		
	A true and correct copy of all contemporaneous Medical Records from the ASR Revision Surgery Hospitalization including, but not limited to, records from pathology/histopathology, labs/chemistry, radiology, physicians' notes, and discharge summaries;							
	A true and correct copy	of all contemporaneous Medica	al Records of the tre	eating physician	who treated each I	nfection; and		
		of the contemporaneous Medic maries and Operative Records			istory and Physical	Examination		
	I. MATRE	X LEVEL II (Major Comp	olications): Misc	ellaneous Maj	or Complication			
cor was Sun To hav Co	This section relates only to Matrix Level II – Major Complications: Miscellaneous Major Complication and should be completed only if a QUSC has suffered a Major Complication not enumerated (in 1-4, above) and where the Major Complication was directly related to the reason necessitating, or directly arising from, an ASR Revision Surgery or Covered Re-Revision Surgery. To submit a claim for PAST Matrix Level II – Major Complications: Miscellaneous Major Complication, the QUSC must have suffered a major complication before April 1, 2014. To submit a claim for a FUTURE Matrix Level II – Major Complications: Miscellaneous Major Complication, the QUSC must suffer a major complication on or after April 1, 2014, but within two years of the ASR Revision Surgery on that hip.							
		ore than one Major Complication or Complication and attach the			ion, make copies o	f this Section I		
1	Matrix Type		Past (Before A	april 1, 2014)				
г.	Water X Type		Future (On or	After April 1, 20	014)			
2.	Date of Diagnosis			/ (MM/DD	/ //YYYY)			
3.	Description of Complication							

GREEN CLAIM FORM FOR EIF AWARD						
4. Name of Hospital where Complication was Diagnosed or Treated						
5. Name of Diagnosing/ Treating Physician	Last		I	First	Middle Initial	
6. Summary of Claim						
When submitting a Green Clai Miscellaneous Major Complic				or Matrix Level II – Major	r Complications:	
A true and correct copy of managed each Miscellaned			ds of the tre	eating physician or surgeor	n who diagnosed and/or	
A true and correct copy of Records, Discharge Summ						
	J. MATRIX L	LEVEL III (Delay	ed Recov	very): Foot Drop		
J. MATRIX LEVEL III (Delayed Recovery): Foot Drop This section relates only to Matrix Level III – Delayed Recovery: Foot Drop and should be completed only if a QUSC suffered foot drop (a peroneal nerve injury qualifying as a Major Complication under Matrix Level II) that is documented in contemporaneous medical records as continuing to exist on the date that is 365 days after an ASR Revision Surgery or Covered Re-Revision Surgery. To submit a claim for PAST Matrix Level III – Delayed Recovery: Foot Drop, a QUSC must have suffered a foot drop before April 1, 2014. To submit a claim for FUTURE Matrix Level III – Delayed Recovery: Foot Drop, a QUSC must have suffered a foot drop before April 1, 2014. To submit a claim for FUTURE Matrix Level III – Delayed Recovery: Foot Drop, a QUSC must suffer a foot drop on or after April 1, 2014 and within two years of an ASR Revision Surgery. An award under this Future Matrix Level III shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level III, except that the Future Matrix Level III award will be subject to a reduction of up to 75%. If a Product User has had more than one instance of Delayed Recovery: Foot Drop, make copies of this Section J for each instance and attach them to the signed Claim Form. A QUSC can receive only one Past Matrix Level III award that is between \$34,000 and \$288,000 and based on the QUSC's age on the date of his/her first ASR Revision Surgery and the defined severity level. A QUSC who is eligible for Matrix Level II and Matrix Level III benefits related to Foot Drop will receive the greater of the two awards.						
1. Matrix Type	L			efore April 1, 2014) (On or After April 1, 2014)	
2. Nature of Delayed Recov	ery		🔀 Mo	oderate	Severe	
3. Date Injury Forming the Basis of the Delayed Recovery Claim First Manifested	4 / 8	4 <u>2012</u> DD/YYYY) 4	. Date of	f Original Injury	<u>4 / 21 / 2012</u> (MM/DD/YYYY)	
5. Did the Product User requirements before the implantation of			walker	🗌 Yes	🖂 No	
5. Did the Product User require the use of a wheelchair before the implantation of the ASR Hip Implant?						

	GREEN CLAIM FORM FOR EIF AWARD						
7.			daily use of prescription pain on of the ASR Hip Implant?		Yes	🛛 No	
8.	If Yes to Questions 5, 6 provide the timeframe a describe the circumstan	nd					
9.	Name of Physician Diag and/or Treating the Inju Forming the Basis of the Delayed Recovery Clain	uries e	Last JONES	First BOB		Middle Initial	
10.	Summary of Claim	hospital drop wa or other time exc	suffered a foot drop, which Dr. Jones d zed for her Re-Revision Surgery. On 4/ s the result of a peroneal nerve injury. C supportive walking device for all activit eeding 20-30 minutes in length while sh a connection with this injury.	21/12, Dr. Jones ir On 6/14/12, Ms. Do ies where she would	nformed be was ir ld be on	Ms. Doe that the foot astructed to use a cane her feet for a period of	
	nen submitting a Green Cla op, a QUSC must submit th		for EIF Benefits that includes a claim fo ments:	r Matrix Level III -	– Delaye	ed Recovery: Foot	
	19		emporaneous Medical Records from the immenting the manifestation of the Delaye			R Revision Surgery or	
	A true and correct copy o managed the Delayed Red		emporaneous Medical Records of the tre ury;	eating physician or	surgeon	who diagnosed and/or	
			emporaneous Medical Records showing est for 365 or more days after an ASR R				
	that, at 365 or more days,	the claim	ery is moderate, a true and correct copy of ant (1) experiences pain requiring a dail erutches, a cane or walker for a substanti	y use of prescription	on pain r	medication or (2) has a	
		the claim	ery is severe, a true and correct copy of a ant (1) requires the use of a wheelchair t				
		К. М.	ATRIX LEVEL III (Delayed Recov	very): Infection			
an	injury due to an infection (qualifyin	rel III – Delayed Recovery: Infection as a Major Complication under Matrix on or after the date that is 365 days after	Level II) that is do	ocument	ed in contemporaneous	
Ap inf III	To submit a claim for PAST Matrix Level III – Delayed Recovery: Infection , a QUSC must have suffered an infection before April 1, 2014. To submit a claim for FUTURE Matrix Level III – Delayed Recovery: Infection , a QUSC must suffer an infection on or after April 1, 2014 and within two years of an ASR Revision Surgery. An award under this Future Matrix Level III shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level III, except that the Future Matrix Level III award will be subject to a reduction of up to 75%.						
ins \$34 lev	tance and attach them to th 4,000 and \$288,000 and ba	e signed sed on the	e instance of Delayed Recovery: Infection Claim Form. A QUSC can receive only e QUSC's age on the date of his/her first rix Level II and Matrix Level III benefit	one Past Matrix Le ASR Revision Sur	evel III a rgery an	ward that is between d the defined severity	

	GREEN	CLAIM FORM FOR	R EIF AWARD			
1.	Matrix Type		t (Before April 1, 2014)			
		Fut	ure (On or After April 1, 2014)			
2.	Nature of Delayed Recovery		Moderate Severe			
3.	Date Injury Forming the Basis of the Delayed Recovery Claim First Manifested	<u>/</u> 4. D a	te of Original Injury ///	/ /YYYY)		
5.	Did the Product User require the use of a before the implantation of the ASR Hip I		r 🗌 Yes 🗌 No			
6.	Did the Product User require the use of a implantation of the ASR Hip Implant?	a wheelchair before the	Yes No			
7.	Did the Product User require the daily u medication before the implantation of th		Yes No			
8.	8. If Yes to Questions 5, 6 or 7, provide the timeframe and describe the circumstances.					
9.	9. Name of Physician Diagnosing and/or Treating the Injuries Forming the Basis of the Delayed Recovery Claim					
10.	Summary of Claim					
-	nen submitting a Green Claim Form for EIF USC must submit these documents:	Benefits that includes a clai	m for Matrix Level III – Delayed Recovery	: Infection,		
	A true and correct copy of all contemporan Covered Re-Revision Surgery documenting			burgery or		
	A true and correct copy of the contemporar managed the Delayed Recovery injury;	eous Medical Records of the	ne treating physician or surgeon who diagno	osed and/or		
	 A true and correct copy of all contemporaneous Medical Records showing that the injury forming the basis of the Delayed Recovery claim continued to manifest for 365 or more days after an ASR Revision Surgery or Covered Re-Revision Surgery; If the nature of the Delayed Recovery is moderate, a true and correct copy of all contemporaneous Medical Records showing 					
	that, at 365 or more days, the claimant (1) e gait alteration requiring the use of crutches,	, a cane or walker for a sub-	stantial portion of activities of daily living; a	and		
	If the nature of the Delayed Recovery is sev that, at 365 or more days, the claimant (1) r underwent an amputation.					

L. MATRIX LEVEL III (Delayed Recovery): Miscellaneous

This section relates only to **Matrix Level III – Delayed Recovery: Miscellaneous** and should be completed only if a QUSC suffered a Miscellaneous Injury (qualifying as a Miscellaneous Major Complication under Matrix Level II) that is documented in contemporaneous medical records as continuing to exist on the date that is 365 days after an ASR Revision Surgery or Covered Re-Revision Surgery.

To submit a claim for **PAST Matrix Level III – Delayed Recovery: Miscellaneous**, a QUSC must have suffered a miscellaneous injury before April 1, 2014. To submit a claim for **FUTURE Matrix Level III – Delayed Recovery: Miscellaneous**, a QUSC must suffer a miscellaneous injury on or after April 1, 2014 and within two years of an ASR Revision Surgery. An award under this Future Matrix Level III shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level III, except that the Future Matrix Level III award will be subject to a reduction of up to 75%.

If a Product User has had more than one instance of Delayed Recovery: Miscellaneous, make copies of this Section L for each instance and attach them to the signed Claim Form. A QUSC can receive only one Past Matrix Level III award that is between \$34,000 and \$288,000 and based on the QUSC's age on the date of his/her first ASR Revision Surgery and the defined severity level.

1. I	. Matrix Type		☐ Past (Before April 1, 2014) ☐ Future (On or After April 1, 2014)			
2.]	Nature of Delayed Recovery		🗋 Mode	erate	Severe	
]	Date Injury Forming the Basis of the Delayed Recovery Claim First Manifested	/4.	Date of O	riginal Injury	/ (MM/D	/ D/YYYY)
	Did the Product User require the use of before the implantation of the ASR Hip		alker	🗌 Yes	🗌 No	
	Did the Product User require the use of implantation of the ASR Hip Implant?	a wheelchair before th	ie	🗌 Yes	🗌 No	
	Did the Product User require the daily u medication before the implantation of th		n	🗌 Yes	🗌 No	
l	If Yes to Questions 5, 6 or 7, provide the timeframe and describe the circumstances.					
	Name of Physician Diagnosing and/or Treating the InjuriesLastForming the Basis of the Delayed Recovery Claim			First		Middle Initial
10. \$	Summary of Claim					

When submitting a Green Claim Form for EIF Benefits that includes a claim for Matrix Level III – Delayed Recovery: Miscellaneous, a QUSC must submit these documents:					
A true and correct copy of all contemporaneous Medical Records from the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery documenting the manifestation of the Delayed Recovery injury;					
A true and correct copy of the contemporaneous Medica managed the Delayed Recovery injury;	A true and correct copy of the contemporaneous Medical Records of the treating physician or surgeon who diagnosed and/or managed the Delayed Recovery injury;				
A true and correct copy of all contemporaneous Medical Records showing that the injury forming the basis of the Delayed Recovery claim continued to manifest for 365 or more days after an ASR Revision Surgery or Covered Re-Revision Surgery;					
☐ If the nature of the Delayed Recovery is moderate, a true that, at 365 or more days, the claimant (1) experiences p gait alteration requiring the use of crutches, a cane or wa	ain requiring a c	laily use of prescription pain medication or (2) has a			
☐ If the nature of the Delayed Recovery is severe, a true at that, at 365 or more days, the claimant (1) requires the u underwent an amputation.					
M. MATRIX LEVE	L IV (Myocar	dial Infarction)			
M. MATRIX LEVEL IV (Myocardial Infarction) This section relates only to Matrix Level IV (Myocardial Infarction) and should be completed only if a QUSC has suffered a myocardial infarction (i) during the ASR Revision Surgery or Covered Re-Revision Surgery, or (ii) during the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery (Section 8.4.13). A QUSC will receive an award under this section based upon (a) the pre- and post- myocardial infarction change in Functional Classification (as defined by the New York Heart Association) and (b) the QUSC's age on the date of the myocardial infarction, according to the PART B Award Schedule (Section 8.4.13.2). QUSCs who suffered a myocardial infarction in close temporal proximity to (in no event greater than 30 days), but following, the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery may be entitled to an award under this Section, based upon a process to be determined by the Team and the SOC at a later date, provided that the ASR Revision Surgery or Covered Re-Revision Surgery was a cause of the myocardial infarction (Section 8.4.13.1.1). To submit a claim for PAST Matrix Level IV – Myocardial Infarction , a QUSC must have suffered a myocardial infarction before April 1, 2014. To submit a claim for FUTURE Matrix Level IV – Myocardial Infarction , a QUSC must have suffered a myocardial infarction before April 1, 2014. To submit a claim for FUTURE Matrix Level IV – Myocardial Infarction , a QUSC must have suffered a myocardial infarction before April 1, 2014. To submit a claim for FUTURE Matrix Level IV – Myocardial Infarction , a QUSC must have suffered a myocardial infarction before April 1, 2014. To submit a claim for FUTURE Matrix Level IV – Myocardial Infarction , a QUSC must maver dunder this Future Matrix Level IV shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level IV, except that the Future Matrix Level IV award will be subject to a r					
1. Matrix Type		re April 1, 2014)			
		n or After April 1, 2014)			
2. Date of Myocardial Infarction (MI)		/ / (MM/DD/YYYY)			
3. Date of Surgery that Precipitated MI		/ / (MM/DD/YYYY)			
4. Date of Discharge from Surgery		/ / (MM/DD/YYYY)			

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GREEN CLAIM FORM FOR EIF AWARD					
	Class I Class II				
5. New York Heart Association Functional Class Symptoms <u>BEFORE</u> the MI	Class III Class IV				
· ·	N/A N/A				
6. New York Heart Association Functional Class	Class I Class II				
Symptoms <u>AFTER</u> the MI	Class III Class IV				
7. Does the Product User have a history of cardiac problems, and/or had the Product User consulted	If Yes, complete Item 8.				
with a cardiologist and/or cardiothoracic surgeon before the MI?	Yes No If No, skip to Item 9.				
8. If Yes, provide a brief description and Name of the consulting physician(s):					
9. Name of Hospital where MI was Diagnosed or Treated					
10. Name of Diagnosing/ Treating Cardiothoracic Surgeon or CardiologistLast	First Middle Initial				
11. Summary of Claim	1. Summary of Claim				
When submitting a Green Claim Form for EIF Benefits that QUSC must these documents:	t includes a claim for Matrix Level IV – Myocardial Infarction, a				
A true and correct copy of all contemporaneous Medical Records of the cardiothoracic surgeon(s) and/or cardiologist(s) who diagnosed and treated the myocardial infarction;					
A true and correct copy of the contemporaneous Medical Records, including Admission History and Physical Examination Records, Discharge Summaries and Operative Records pertaining to the surgery that precipitated the Myocardial Infarction;					
A true and correct copy of the contemporaneous Medical Records, including Admission History and Physical Examination Records, Discharge Summaries and Operative Records pertaining to the treatment of the Myocardial Infarction, if different than above; and					
A true and correct copy of the contemporaneous Medical Records establishing the claimant's pre- and post-myocardial infarction change in Functional Classification (as defined by the New York Heart Association).					

N. MATRIX LEVEL V (Stroke)

This section relates only to **Matrix Level V (Stroke)** and should be completed only if a QUSC has suffered a stroke (i) during the ASR Revision Surgery or Covered Past Re-Revision Surgery, or (ii) during the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery (Section 8.4.14.1). An award under this section shall be based upon (a) the American Heart Association Stroke Outcome Classification and (b) the age of the patient on the date of the stroke, according to the PART B Award Schedule (Section 8.4.14.2). A transient ischemic attack, or TIA, is not a stroke for purposes of the Green Claim Form (Section 8.4.14.2.1). QUSCs who suffered a stroke in close temporal proximity to (in no event greater than 30 days), but following, the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery may be entitled to an award under this Section, based upon a process to be determined by the Team and the SOC at a later date, provided that the ASR Revision Surgery or Covered Re-Revision Surgery or Covered Re-Revision Surgery was a cause of the stroke (Section 8.4.14.1.1).

To submit a claim for **PAST Matrix Level V** – **Stroke**, the QUSC must have suffered a stroke before April 1, 2014. To submit a claim for **FUTURE Matrix Level V** – **Stroke**, the QUSC must suffer a stroke on or after April 1, 2014, and within two years of an ASR Revision Surgery. An award under this Future Matrix Level V shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level V, except that the Future Matrix Level V award will be subject to a reduction of up to 75%.

If a Product User has had more than one Stroke, make copies of this Section N for each Stoke and attach them to the signed Claim Form. A QUSC can receive only one Past Matrix Level V award, regardless of the number, type, or location of myocardial infarctions suffered, that is between \$85,000 and \$516,000 and based on the American Heart Association Stroke Classification and the QUSC's age on the date of the stroke.

1.	Matrix Type		Past (Before April 1, 2	014)	
1.			Future (On or After Ap	oril 1, 2014)	
2.	Date of Stroke	// (MM/DD/YYYY)	3. Date of Surgery that Stroke	Precipitated	/ / (MM/DD/YYYY)
4.	Date of Discharge from	5	Association Functional		I 🗌 Level II
	Surgery	(MM/DD/YYYY)	Stroke Outcome Classification	Level	III 🗌 Level IV
6.	Name of Hospital Stroke was Diagno and Treated				
7.	Name of Diagnosin Treating Neurosun or Neurologist		First		Middle Initial
8.	Summary of Clain	n			

	GREEN CLAIM FORM FOR EIF AWARD					
When submitting a Green Claim these documents:	Form for EIF Benefits that inclu	des a claim for Matrix Level V – Stro	ke, a QUSC must submit			
A true and correct copy of a diagnosed and treated the st		ords of the neurosurgeon(s) and/or ne	urologist(s) who			
		cords, including Admission History ar ning to the surgery that precipitated the				
		cords, including Admission History ar ning to the treatment of the stroke, if				
A true and correct copy of t Functional Stroke Outcome		cords establishing the claimant's Ame	rican Heart Association			
	O. MATRIX LE	VEL VI (Death)				
This section relates only to Matrix Level VI (Death) and should be completed only if a Product User has died (i) during the ASR Revision Surgery or Covered Re-Revision Surgery, or (ii) during the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery (Section 8.4.14.5). A QUSC whose Product User died in close temporal proximity to, but following, the hospitalization for the ASR Revision Surgery or Covered Re-Revision Surgery may be entitled to an award under this Section, based upon a process to be determined by the Team and the SOC at a later date, provided the ASR Revision Surgery was a cause of the death (Section 8.4.14.5.1). To submit a claim for PAST Matrix Level VI – Death , a Product User must have died before April 1, 2014. To submit a claim for FUTURE Matrix Level VI – Death , a Product User must die on or after April 1, 2014 and within two years of an ASR Revision Surgery or Covered Re-Revision Surgery. An award under this Future Matrix Level VI shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level VI, except that the Future Matrix Level VI award will be subject to a reduction of up to 75%.						
1. Matrix Type		Past (Before April 1, 2014)Future (On or After April 1, 201	4)			
2. Date of Product User's Death	<u> </u>	3. Date of Surgery that Precipitated Product User's Death	/_/			
4. Name of Hospital where Surgery that Precipitated Product User's Death Occurred						
5. Name of Hospital where Product User's Death Occurred						
6. Cause of Product User's Death						
7. Marital Status at the	Married Div	vorced If Married, complete applicable.	Items 8-13, where			
7. Marital Status at the Time of Product User's Death	Separated Wi	dowed If Separated, Divorce Items 8-9, where app	ed, or Widowed, complete licable.			
	Single	If Single, skip to Item 14.				
8. Date of Marriage	// (MM/DD/YYYY)	9. Date of Separation or Divorce (if applicable)	/_/			

GREEN CLAIM FORM FOR EIF AWARD						
10. Spouse Name	First	Middle		Last		
11. Spouse Address	Street					
11. Spouse Address	City	State	Zip	Country		
12. Spouse Social Security Number				13. Spouse Date Birth	e of ///////////////////////////////////	
14. Did the Product User have biological or adopted children who were living at the time of death?		Yes	Yes No If Yes, make a copy of Items 15-18 f child and answer the necessary quest If No, skip to Item 19.		r the necessary questions.	
15. Child Name	First	Middle		Last		
16. Child Address	Street					
	City	State	Zip	Cou	ntry	
17. Child Social Security Number		_ -		18. Child Date of Birth	of ////////////////////////////////////	
Provide the necessary informa User's Death in Questions 19 Death, skip to Question 27.						
19. Father Name	First	Middle		Last		
20. Father Address	Street City			State	Zip	
21. Father Social Security Number		_	<u> </u>	22. Father Date Birth	e of ///////////////////////////////////	
23. Mother Name	First	Middle		Last		
24. Mother Address	Street					
24. Mother Address	City			State	Zip	
25. Mother Social Security Number				26. Mother Date Birth	e of ///////////////////////////////////	
27. Was the Product User er	mployed at the time of (death?	Yes	🗌 No	If Yes, complete Items 28- 31. If No, skip to Item 32.	

	GREEN CLAIM FORM FOR EIF AWARD						
28. Employed Since	/_/	29. Job Title					
30. Employer Name							
31. Employer	Street						
Address	City		State Zip				
32. Summary of Claim							
When submitting a Gree these documents:	n Claim Form for EIF Benefits tl	hat includes a claim for l	Matrix Level VI – Death, a QUSC must submit				
	opy of all contemporaneous Med Past Re-Revision Surgery that yo		ng surgeon who performed the ASR Revision ath;				
Records, Discharge			Admission History and Physical Examination Revision Surgery or Covered Past Re-Revision				
	A true and correct copy of the contemporaneous Medical Records, including Admission History and Physical Examination Records, Discharge Summaries and Operative Records for the hospitalization (if different than above) leading up to the QUSC's death;						
Death Certificate and Autopsy Findings (if applicable);							
Documentation confirming a Minor Child's date of birth that may include a photocopy of his/her birth certificate, social security card, or driver's license; and							
Documentation (in the form of federal income tax-returns or W-2 statements) that evidences a QUSC's wages, salaries, or income from self-employment for the 3 years before his/her death.							
	P. MATRIX LEVEL VII (Discretionary)						
This section relates only to Matrix Level VII (Discretionary) and should be completed only if a QUSC has suffered a truly extraordinary injury and/or loss as a result of an ASR Revision Surgery or covered Re-Revision Surgery or a condition directly related to the reason necessitating an ASR Revision Surgery or covered Re-Revision Surgery, that was either not anticipated or not provided for under Matrix Levels I-VI. (Section 8.4.15.1-2).							
To submit a claim for PAST Matrix Level VII – Discretionary , a QUSC must have suffered a truly extraordinary injury and/or loss before April 1, 2014. To submit a claim for FUTURE Matrix Level VII –Discretionary , a QUSC must suffer a truly extraordinary injury and/or loss on or after April 1, 2014 and within two years of an ASR Revision Surgery or Covered Re-Revision Surgery. An award under this Future Matrix Level VII shall be calculated in the same manner and subject to the same limitations as an award under the Past Matrix Level VII, except that the Future Matrix Level VII award will be subject to a reduction of up to 75%.							
If a Product User has had more than one Discretionary injury and/or loss, make copies of this Section P for each Discretionary Injury claimed and attach them to the signed Claim Form.							
Past (Before April 1, 2014)							
1. Matrix Type		Future (On or	After April 1, 2014)				

	GREEN CLAIM FORM FOR EIF AWARD						
2.	Description of the Injury Damage(s) that Resulted an ASR Revision Surger Covered Re-Revision Su	l from y or	Loss of earnings				
3.	Date on which the Injury/Damage was Recognized $\frac{4 / 4 / 2012}{(MM/DD/YYYY)}$						
4.	Date of Surgery that Pre	ecipitated	the Injury	<u>4/4/2012</u> (MM/DD/YYYY)			
5.	Name of Diagnosing/ Treating Physician	Last JONES		First Middle Initial BOB			
	swer Questions 6 and 7 if the system of the		User seeks lost earnings compensation	on. If the Product User does not seek lost earnings			
6.	Total Amount of Unreim	bursed L	ost Earnings Claimed	\$ 5,600.00			
7.	Identify all payments received in place of lost earnings, such as disability benefits, social security, Broadspire, or state that the Product User did not receive any reimbursements.						
8.	Summary of Claim	Ms. Doe was unable to work for four months as a result of the foot drop that she experienced after her Re-Revision Surgery.					
	nen submitting a Green Clai omit these documents:	im Form f	or EIF Benefits that includes a claim f	for Matrix Level VII – Discretionary, a QUSC must			
	1.2		nporaneous Medical Records of the tr vision Surgery (that you believe result	eating physician(s) who performed the ASR ed in injury/damage);			
	A true and correct copy of the contemporaneous Medical Records, including Admission History and Physical Examination Records, Discharge Summaries and Operative Records pertaining to the ASR Revision Surgery or covered Re-Revision Surgery (that you believe resulted in injury/damage);						
	A true and correct copy of the contemporaneous Medical Records, including Admission History and Physical Examination Records, Discharge Summaries and Operative Records pertaining to treatment for the injury/damage claimed;						
	A true and correct copy of all contemporaneous Medical Records of the treating physician(s) who diagnosed and/or treated the injury/damage claimed;						
	To the extent applicable, a true and correct copy of the contemporaneous Medical Records of the treating physician(s) who diagnosed and/or treated the medical contra-indication that delayed a QUSC's ASR Revision Surgery – leading to a reduction in the QUSC's Part A Base award; and						
	To the extent applicable, documentation that evidences a QUSC's unreimbursed (out of pocket) loss of earnings, including, but not limited to, federal income tax returns or W-2 statements for the two years preceding the ASR Index Surgery as well as for the years in which an earnings loss is being claimed and any documentation relating to disability coverage and determinations.						

Q. CERTIFICATION BY CLAIMANT

I declare under penalty of perjury under 28 U.S.C. §1746 that all of the information provided in and with this Claim Form is true and correct to the best of my knowledge, information and belief.

I further certify that by participating in this U.S. Program, I agree to abide by the terms of the Agreement, and further understand that by enrolling in the Settlement Program, I agree to be bound by the terms of MDL Case Management Order 13, as amended, which permits a holdback of 5% fees and 1% costs to be deducted from any final award/gross recovery to me from the U.S. Program which shall be used, in part, for the funding of the administration of the U.S. Program. I further agree to comply with any Orders entered by the United States District Court for the Northern District of Ohio (MDL Docket No. 1:10-md-2197) in the furtherance of Case Management Order 13, and consent to the jurisdiction of that MDL Court for that purpose. I further grant and convey to the Settlement Oversight Committee for MDL 2197 a lien upon and/or security interest for such holdback amounts in any recovery by me from the U.S. Program. If I qualify for a settlement award payment pursuant to the terms of the Agreement, I authorize such settlement payment to be made to my Counsel identified as my Primary Law Firm in trust for me in accordance with the Agreement.

Claimant's Signature			Date	// (MM/DD/YYYY)		
Printed Name	First	Middle Initial	Last			
	R. COUNSEL SIGNATURE					
Counsel's Signature			Date	/_/(MM/DD/YYYY)		
Printed Name	First	Middle Initial	Last			

